

#### **FEE TRANSMITTAL FOR FY 2003 TOTAL AMOUNT OF PAYMENT (\$)** \$ 180.00 Complete if Known: Application No. 09/738,686 Filing Date December 15, 2000 First Named Inventor Douglas E. Crafts Group Art Unit <u>2839</u> Examiner Name Than-Tam T. Li Attorney Docket No. 042390P10312 Confirmation Number: 9954 **METHOD OF PAYMENT** (check one) The Commissioner is hereby authorized to charge indicated fees and credit [X] any over payments to: **Deposit Account Number** 02-2666 **Deposit Account Name** [ X ] Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17 Applicant claims small entity status. See 37 CFR 1.27 \_\_X\_\_ 2. Payment Enclosed: \_X\_\_ Check **Money Order** Other FEE CALCULATION 1. **BASIC FILING FEE** Large Entity **Small Entity** Fee Fee Fee Fee Code **Fee Description** Code (\$) Fee Paid (\$) 1001 740 2001 370 Utility application filing fee Design application filing fee 1002 330 2002 165 Plant filing fee 1003 510 2003 255 Reissue filing fee 1004 740 2004 370 Provisional application filing fee 1005 160 2005 80 SUBTOTAL (1) \$\_\_\_\_ 2. **EXTRA CLAIM FEES** Fee from **Extra Claims** below Fee Paid **Total Claims -20\*\*** = Independent Claims **-3\*\*** = Multiple Dependent \*\*Or number previously paid, if greater; For Reissues, see below. **Small Entity** Large Entity Fee Fee Fee Fee Code (\$) Code (\$) Fee Description 1202 Claims in excess of 20 18 2202 9 Independent claims in excess of 3 1201 84 2201 42 1203 280 2203 140 Multiple dependent claim, if not paid \*\*Reissue independent claims over original patent 1204 84 2204 42 1205 18 \*\*Reissue claims in excess of 20 and over original patent 2205

SUBTOTAL (2) \$\_\_\_\_\_

#### FEE CALCULÁTION (continued)

## 3. ADDITIONAL FEES

Large I	Entity	Small E	ntity		
Fee	Fee	Fee	Fee		
Code	(\$)	Code	(\$)	Fee Description	Fee Paid
1051	130	2051	65	Surcharge - late filing fee or oath	
1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet	
1053	130	1053	130	Non-English specification	
1812	2,520	1812	2,520	For filing a request for ex parte reexamination	
1813	8,800	1813	8,800	Request for inter parties reexamination	
1804	920*	1804	920*	Requesting publication of SIR prior to Examiner action	
1805	1,840*	1805	1,840*	Requesting publication of SIR after Examiner action	
1251	110	2251	55	Extension for reply within first month	
1252	400	2252	200	Extension for reply within second month	
1253	920	2253	460	Extension for reply within third month	<del></del>
1254	1,440	2254	720	Extension for reply within fourth month	
1255	1,960	2255	980	Extension for reply within fifth month	<del></del>
1401	320	2401	160 160	Notice of Appeal	
1402	320	2402	160	Filing a brief in support of an appeal	
1403 1451	280 1,510	2403 1451	140 1,510	Request for oral hearing Petition to institute a public use proceeding	
1451 1452	110	2452	1,510 55	Petition to institute a public use proceeding  Petition to revive – unavoidable	
1453	1,280	2453	640	Petition to revive - unintentional	-
1501	1,280	2501	640	Utility issue fee (or reissue)	
1502	460	2502	230	Design issue fee	
503	620	2502	310	Plant issue fee	
460	130	1460	130	Petitions to the Commissioner	
807	50	1807	50	Processing fee under 37 CFR 1.17(q)	
806	180	1806	180	Submission of Information Disclosure Stmt	\$180.00
3021	40	8021	40	Recording each patent assignment per	<u> </u>
				property (times number of properties)	
1809	740	2809	370	For filing a submission after final rejection	
				(see 37 CFR 1.129(a))	
1814	110	2814	55	Statutory Disclaimer	
1810	740	2810	370	For each additional invention to be examined	
				(see 37 CFR 1.129(b))	
1801	740	2801	370	Request for Continued Examination (RCE)	
802	900	1802	900	Request for expedited examination of a design	
				application	
504	300	1504	300	Publication fee for early, voluntary, or normal pub.	
505	300	1505	300	Publication fee for republication	
803	130	1803	130	Request for voluntary publication or republication	
808	130	1808	130	Processing fee under 37 CFR 1.17(i) (except provisionals	
454	1,280	1454	1,280	Acceptance of unintentionally delayed claim for priority	y
Other 1	fee (specif	y)			
Other 1	fee (specif	v)			
<b></b> .	- (-P+3)	• • • • • • • • • • • • • • • • • • • •			
'Reduc	ed by Basic	: Filina Fee	Paid	SUBTOTAL (3) \$ <u>1</u>	80.00
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Typed or Printed Name: Judith A. Szepesi					
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тед. N	Number:	_39,393	<u></u>	Telephone Number: <u>408-720-8300</u>	· ·



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	ication No.		9/738,68			
	g Date _ De					
	Named In		<u>Douglas</u>	s E. Crafts		
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	irmation N					
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MET	HOD OF	PAYMEI	NT (che	eck one)		
1.	[ x ]			ioner is hereby authorized to charge in nents to:	dicated fees and cre	edit
				unt Number <u>02-2666</u> unt Name		
	[ x ]	Charge	e Any A	dditional Fee Required Under 37 CFR 1	I.16 and 1.17	
	[ ]	Applic	ant clai	ms small entity status. See 37 CFR 1.2	27	
2.	_X	Payme	ent Encl	osed: X Check Money Order Other		***************************************
FFF	CALCUL	ATION				
	OALOGE	A11011				
1.	BASIC F	FILING F	EE			
	<u>e Entity</u>	<u>Small</u>				
Fee	Fee	Fee	Fee	For December		Foe Doid
Code		Code	(\$)	Fee Description		Fee Paid
1001 1002		2001	370 165	Utility application filing fee Design application filing fee		<del></del>
1002		2002 2003	165 255	Plant filing fee		
1003		2003	255 370	Reissue filing fee		
1004		2004	370 80	Provisional application filing fee		
1003	100	2003	80	Provisional application litting lee		
					SUBTOTAL (1) \$	
2.	EXTRA	CLAIM I	FEES		Fee from	
				Extra Claims	<u>below</u>	Fee Paid
	l Claims		<del></del>	- 20** =		=
Inde	pendent (	Claims <sub>-</sub>		-3** =	X	=
Mult	iple Depe	endent				=
			sly paid	d, if greater; For Reissues, see belo	w.	
	e Entity	Small		, 9		
Fee	Fee	Fee	Fee			
Code		Code	(\$)	Fee Description		
1202		2202	Ψ)	Claims in excess of 20		
1201	_	2201	42	Independent claims in excess of 3		
1203		2203	140	Multiple dependent claim, if not paid		
1204		2204	42	**Reissue independent claims over o	riginal patent	
1205		2205	9	**Reissue claims in excess of 20 and		

SUBTOTAL (2) \$\_

## FEE CALCULATION (continued)

## 3. ADDITIONAL FEES

<b>J.</b>	AUDITIO	VAL FEE	3		
	Entity	Small E			
ee	Fee	Fee	Fee		
ode	(\$)	Code	(\$)	Fee Description	Fee Paid
051	130	2051	65	Surcharge - late filing fee or oath	
052	50	2052	25	Surcharge - late provisional filing fee or cover sheet	
053	130	1053	130	Non-English specification	
812	2,520	1812	2,520	For filing a request for ex parte reexamination	
813	8,800	1813	8,800	Request for inter parties reexamination	
804	920*	1804	920*	Requesting publication of SIR prior to Examiner action	
805	1,840*	1805	1,840*	Requesting publication of SIR after Examiner action	
251	110	2251	55	Extension for reply within first month	
252	400	2252	200	Extension for reply within second month	
253	920	2253	460	Extension for reply within third month	
254	1,440	2254	720	Extension for reply within fourth month	
255	1,960	2255	980	Extension for reply within fifth month	
401	320	2401	160	Notice of Appeal	-
402	320	2402	160	Filing a brief in support of an appeal	
403	280	2403	140	Request for oral hearing	
451	1,510	1451	1,510	Petition to institute a public use proceeding	
452	110	2452	55	Petition to revive – unavoidable	
453	1,280	2453	640	Petition to revive - unintentional	
<del>5</del> 01	1,280	2501	640	Utility issue fee (or reissue)	
502	460	2502	230	Design issue fee	
502 503	620	2502	310	Plant issue fee	
460	130	1460	130	Petitions to the Commissioner	
807	50	1807	50	Processing fee under 37 CFR 1.17(q)	
	180	1806	180	Submission of Information Disclosure Stmt	\$180.00
806	40	8021	40		\$100.00
021	40	6UZ I	40	Recording each patent assignment per	
000	740	0000	270	property (times number of properties)	
809	740	2809	370	For filing a submission after final rejection	
044	440	004.4		(see 37 CFR 1.129(a))	
814	110	2814	55 070	Statutory Disclaimer	
810	740	2810	370	For each additional invention to be examined	
004	740	0004	070	(see 37 CFR 1.129(b))	
801	740	2801	370	Request for Continued Examination (RCE)	
802	900	1802	900	Request for expedited examination of a design	
		4-44		application	
504	300	1504	300	Publication fee for early, voluntary, or normal pub.	
505	300	1505	300	Publication fee for republication	
803	130	1803	130	Request for voluntary publication or republication	
808	130	1808	130	Processing fee under 37 CFR 1.17(i) (except provisionals	)
454	1,280	1454	1,280	Acceptance of unintentionally delayed claim for priority	<i>y</i>
ther	fee (specif	fy)			
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Reduc	ed by Basic	: Filina Fee	Paid	SUBTOTAL (3) \$ <u>1</u>	80.00
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≀eg. I	Number:	<u>39,393</u>		Telephone Number: 408-720-8300	
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10/01/02



# FIRST CLASS CERTIFICATE OF MAILING

Serial/Patent No.: 09/738,686	Filing/Issue Date: December 15, 2000
cume Intel Corporation	
Title ALIGNMENT OF FIBER OPTI	IC BUNDLE TO ARRAY WAVEGUIDE
USING PINS	
BSTZ File No.: 042390P10312	Atty/Secty Initials: EHT/(CKY)/JAS/pjs
Date Mailed: Oct 7.7,2007	Docket Due Date: ****
The following has been received in the U.S. Pate	tent & Trademark Office on the date stamped hereon:
Amendment/Response (pgs.)	Express Mail No.: Check No. 32/1
Appeal Brief ( pgs.) (in triplicate)	Month(s) Extension of Time Amt: \$1.80.00
Application - Utility ( pgs., with cover and abstract)	Information Disclosure Statement & PTO-1449 (3 pgs.) Check No
Application - Rule 1.53(b) Continuation ( pgs.)	Issue Fee Transmittal Amt:
Application - Rule 1.53(b) Divisional ( pgs.)	□ Notice of Appeal
Application - Rule 1.53(b) CIP ( pgs.)	Petition for Extension of Time
Application - Rule 1.53(d) CPA Transmittal ( pgs.)	Petition for
Application - Design ( pgs.)	Postcard
Application - PCT ( pgs.)	Power of Attorney ( pgs.)
Application - Provisional ( pgs.)	Preliminary Amendment ( pgs.)
Assignment and Cover Sheet	Reply Brief ( pgs.)
Certificate of Mailing	Response to Notice of Missing Parts
Declaration & POA ( pgs.)	Preliminary Amendment ( pgs.) Reply Brief ( pgs.) Response to Notice of Missing Parts Small Entity Declaration for Indep. Inventor/Small Business Transmittal Letter, in duplicate
<del>-</del>	Transmittal Letter, in duplicate
Drawings: # of sheets includes figures	Fee Transmittal, in duplicate
	(12 ng patanta E Jananasa II
Other: Copies of 19 reference	nces (13 US Patents, 5 Japanese
Patents and One EP Pa	atent) R
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